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CENTRAL FAX CENTER****FEB 02 2007****FAX TRANSMISSION****DATE:****PTO IDENTIFIER:** Application Number 10/566,694-Conf. #9696  
Patent Number  
**Inventor:** Matthias Frauenkron et al.**MESSAGE TO:** Office of Initial Patent Examination  
**FAX NUMBER:** (571) 273-8300**FROM:** CONNOLLY BOVE LODGE & HUTZ LLP  
Ashley I. Pezzner  
**PHONE:** (302) 658-9141  
**Attorney Dkt. #:** 12810-00190-US**PAGES (Including Cover Sheet):** 16**CONTENTS:** Request for Corrected Filing Receipt (2 pages)  
Marked-up Copy of Filing Receipt (3 pages)  
Copy of Transmittal Of Combined Declaration And Power Of Attorney (8 pages)  
Copy of PTO Auto-Reply Facsimile Transmission (1 page)  
Certificate of Transmission (1 page)

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**CONNOLLY BOVE LODGE & HUTZ LLP**  
1007 North Orange Street, P.O. Box 2207, Wilmington, Delaware 19899  
Telephone: (302) 658-9141 Facsimile: (302) 658-5614

518992\_1

FEB. 2. 2007 2:39PM

3022524210

**IAP13 Rec'd PCT/PTO 02 FEB 2007**

NO. 6176 P. 2

Application No.: 10/566,694

Docket No.: 12810-00190-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**FEB 02 2007**

In re Patent Application of:  
Matthias Frauenkron et al.

Application No.: 10/566,694

Group Art Unit: N/A

Filed: February 20, 2006

Examiner: Not Yet Assigned

For: METHOD FOR PRODUCING  
ETHYLENEAMINES

**REQUEST FOR CORRECTED FILING RECEIPT**

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant has an error in the FILING OR 371(c) DATE.

The FILING OR 371(c) DATE should read --02/20/2006--.

Applicant submitted the executed Combined Declaration And Power Of Attorney to the Patent Office on February 20, 2006, via facsimile transmission, as evidenced by the enclosed copy of the Transmittal Of Combined Declaration And Power Of Attorney. Applicant also encloses herewith a copy of the PTO Auto-Reply Facsimile Transmission acknowledging receipt of the Combined Declaration And Power Of Attorney on February 20, 2006.

A marked-up copy of the Filing Receipt with the correction to be made indicated thereon is also enclosed herewith.

As the error was made by the Patent and Trademark Office, it is believed that no fee is due. Please credit our Deposit Account No. 03-2775 as necessary to effect entry of the attached corrections.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

518991\_1

Application No.: 10/566,694

Docket No.: 12810-00190-US

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 03-2775, under Order No. 12810-00190-US from which the undersigned is authorized to draw.

Respectfully submitted,

By 

Ashley I. Pezzner

Registration No.: 35,646

CONNOLLY BOVE LODGE & HUTZ LLP

1007 North Orange Street

P.O. Box 2207

Wilmington, Delaware 19899

(302) 658-9141

(302) 658-5614 (Fax)

Attorney for Applicant

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/566,694	02/22/2006	1621	1030	12810-00190-US	5	14	1

CONFIRMATION NO. 9696

23416  
CONNOLLY BOVE LODGE & HUTZ, LLP  
P O BOX 2207  
WILMINGTON, DE 19899

FILING RECEIPT

\*OC000000022089541\*

Date Mailed: 01/23/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Matthias Frauenkron, Freinsheim, GERMANY;  
Holger Evers, Mannheim, GERMANY;  
Johann-Peter Melder, Bohl-Iggelheim, GERMANY;  
Roderich Rottger, Mannheim, GERMANY;  
Markus Siegert, Heidelberg, GERMANY;  
Till Gerlach, Ludwigshafen, GERMANY;  
Jan Nouwen, Brecht, BELGIUM;  
Thomas Krug, Worms, GERMANY;

Assignment For Published Patent Application

BASF Aktiengesellschaft, Ludwigshafen, GERMANY

Power of Attorney: The patent practitioners associated with Customer Number 23416.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/07471 07/08/2004

Foreign Applications

GERMANY 103 35 991.5 08/01/2003

If Required, Foreign Filing License Granted: 01/20/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/566,694**

Projected Publication Date: 05/03/2007

Non-Publication Request: No

Early Publication Request: No

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FEB 02 2007

## Title

Method for producing ethyleneamines

## Preliminary Class

564

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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FEB 02 2007

PTO/SB/97 (09-04)

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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application No. (if known): 10/566694

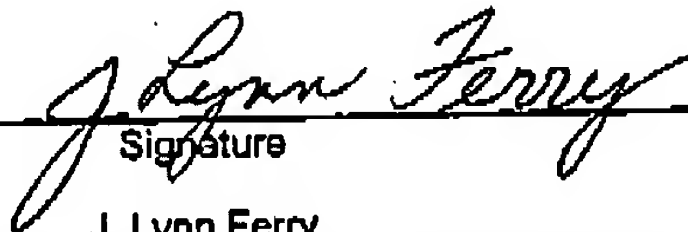
Attorney Docket No.: 12810-00190-US

## Certificate of Transmission under 37 CFR 1.8

### MS PCT

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on February 20, 2006  
Date

  
Signature

J. Lynn Ferry

Typed or printed name of person signing Certificate

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Fee Transmittal (1 page)  
Transmittal Of Combined Declaration And Power Of Attorney (1 page)  
Combined Declaration And Power Of Attorney (4 pages)  
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FEB 02 2007

PTO/SB17 (12-04v2)

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<b>Complete if Known</b> Application Number 10/566694 Filing Date February 1, 2006 First Named Inventor Matthias Frauenkron Examiner Name Not Yet Assigned Art Unit N/A Attorney Docket No. 12810-00190-US	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 130.00			

<b>METHOD OF PAYMENT</b> (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number <u>03-2775</u> Deposit Account Name: <u>Connolly Bove Lodge &amp; Hutz LLP</u>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	

<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		
		<u>Small Entity</u>		<u>Small Entity</u>		<u>Small Entity</u>	
<u>Application Type</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fees Paid (\$)</u>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
<u>Fee Description</u>							<u>Small Entity</u> <u>Fee (\$)</u> <u>Fee (\$)</u>
Each claim over 20 (including Reissues)							50 25
Each independent claim over 3 (including Reissues)							200 100
Multiple dependent claims							360 180
<u>Total Claims</u>		<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>		
- 20 =		x	=		<u>Fee (\$)</u> <u>Fee Paid (\$)</u>		
<u>Indep. Claims</u>		<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
- 3 =		x	=				
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
- 100 =	150	(round up to a whole number) x					
<b>4. OTHER FEE(S)</b>							<u>Fees Paid (\$)</u>
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 1051 Surcharge-Late oath or declaration							130.00

<b>SUBMITTED BY</b>			
Signature <u>Ashley I. Pezzner</u>	Registration No. 35,646 (Attorney/Agent)	Telephone (302) 658-9141	
Name (Print/Type) Ashley I. Pezzner		Date February 20, 2006	

447987



Application No.: 10/566694

Locket No.: 12810-00190-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**FEB 02 2007**

In re Patent Application of:  
Matthias Frauenkron et al.

Application No.: 10/566694

Group Art Unit: N/A

Filed: February 1, 2006

Examiner: Not Yet Assigned

For: METHOD FOR PRODUCING  
ETHYLENEAMINES

**TRANSMITTAL OF COMBINED DECLARATION AND POWER OF ATTORNEY**

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith the executed Combined Declaration And Power Of Attorney.  
Applicant has not received a Notification Of Missing Requirements.

Please charge our Deposit Account No. 03-2775 in the amount of \$130.00 covering the required fees. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 12810-00190-US.

Dated: February 20, 2006

Respectfully submitted,

By   
Ashley I. Pezzner

Registration No.: 35,646  
CONNOLLY BOVE LODGE & HUTZ LLP  
(302) 658-9141  
Attorney for Applicant

*Declaration, Power of Attorney and Petition***RECEIVED  
CENTRAL FAX CENTER****FEB 02 2007**

We (I), the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and amended on \_\_\_\_\_

☒ was filed as PCT international application

Number PCT/EP2004/007471 \_\_\_\_\_

on 08 July 2004 \_\_\_\_\_

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable)

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a) - (d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International Application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International Application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed
10335991.5	Germany	01 August 2003	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

gduapcl.pdf

We (I) hereby claim the benefit under Title 35, United States Codes, § 119(e) of any United States provisional application(s) listed below.

_____	_____
(Application Number)	(Filing Date)
_____	_____
(Application Number)	(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International Application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International Application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status (pending, patented, abandoned)
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby appoint the registered practitioner(s) associated with Customer No. 23416 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Direct all correspondence to Customer Number 23416.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

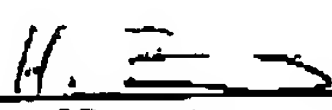
Matthias Frauenkron  
NAME OF SOLE OR FIRST INVENTOR

  
Signature of Inventor

Date 22. Juli 2004

Residence:  
Dr.-Kausch-Strasse 3  
67251 Freinsheim  
Germany  
Citizen of Germany  
Post Office Address: same as residence

Holger Evers  
NAME OF SECOND JOINT INVENTOR

  
Signature of Inventor

Date 22. Juli 2004

Residence:  
J6 Nr.6  
68159 Mannheim  
Germany  
Citizen of Germany  
Post Office Address: same as residence

Johann-Peter Melder  
NAME OF THIRD JOINT INVENTOR

  
Signature of Inventor

Date 22. Juli 2004

Residence:  
Fichtenstr. 2  
67459 Böhl-Iggelheim  
Germany  
Citizen of Germany  
Post Office Address: same as residence

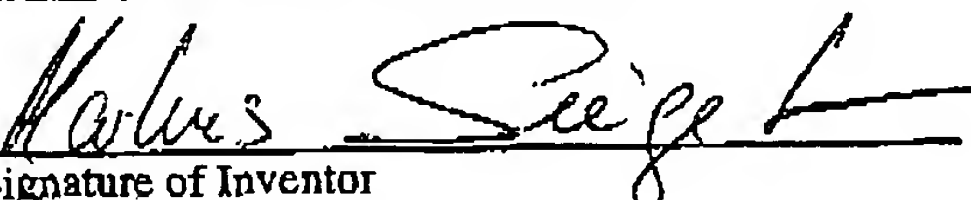
Roderich Röttger  
NAME OF FOURTH JOINT INVENTOR

  
Signature of Inventor

Date 22. Juli 2004

Residence:  
Sophienstr.16  
68165 Mannheim  
Germany  
Citizen of Germany  
Post Office Address: same as residence

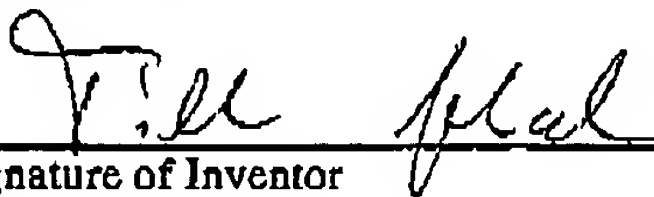
Markus Siegert  
NAME OF FIFTH JOINT INVENTOR

  
Signature of Inventor

Date 22. Juli 2004

Residence:  
Blumenstrasse 16/1  
69115 Heidelberg  
Germany  
Citizen of Germany  
Post Office Address: same as residence

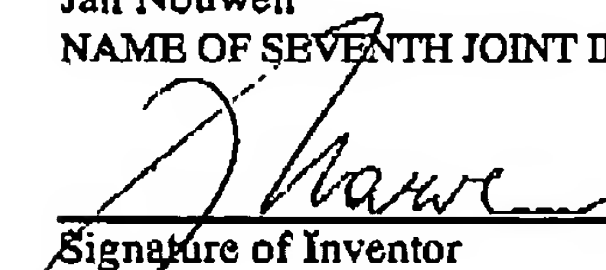
Till Gerlach  
NAME OF SIXTH JOINT INVENTOR

  
Signature of Inventor

Date 22. Juli 2004

Residence:  
Am Weidenschlag 18  
67071 Ludwigshafen  
Germany  
Citizen of Germany  
Post Office Address: same as residence


Jan Nouwen  
NAME OF SEVENTH JOINT INVENTOR

  
Signature of Inventor

Date 30. Juli 2004

Residence:  
Fazantenlaan 5  
2960 Brecht  
Belgium  
Citizen of Belgium  
Post Office Address: same as residence

Thomas Krug  
NAME OF EIGHTH JOINT INVENTOR

  
Signature of Inventor

Date 22. Juli 2004

Residence:  
Wisserstr. 18  
67550 Worms  
Germany  
Citizen of Germany  
Post Office Address: same as residence

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3022524210

NO. 6176 P. 14 P. 1

\* \* \* COMMUNICATION RESULT REPORT ( FEB. 20. 2006 4:15PM ) \* \* \*

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E-2) NO ANSWERE-3) BUSY  
E-4) NO FACSIMILE CONNECTION**FAX TRANSMISSION**

DATE: February 20, 2006

PTO IDENTIFIER: Application Number 10/568694  
Patent Number

Inventor: Matthias Frauenkron et al.

MESSAGE TO: **MS PCT** - US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: CONNOLLY BOVE LODGE & HUTZ LLP  
Ashley I. Pazzner

PHONE: (302) 658-9141

Attorney Dkt #: 12810-00190-US

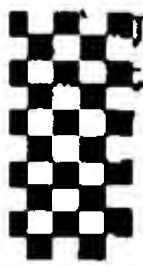
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Transmittal Of Combined Declaration And Power Of Attorney (1 page)  
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2/20/2006 4:13:25 PM [Eastern Standard Time]

8 (Including cover page)

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Received  
Cover  
Page

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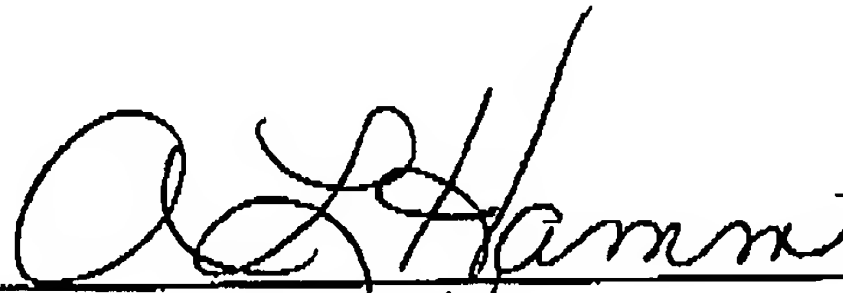
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